

Technology Assessment Unit of the McGill University Health Centre (MUHC)

Update of TAU Reports #63 and #76: Single-dose Intraoperative Radiotherapy Using Intrabeam® for Early-stage Breast cancer

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Report prepared for the Technology Assessment Unit (TAU) of the McGill University Health Centre (MUHC)

by

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Approved by the TAU Policy Committee via an online poll

Mission Statement

The MUHC Health Technology Assessment Unit (TAU) advises hospital administrators and clinical teams in difficult resource allocation decisions. Using an approach based on independent, critical evaluations of the available scientific evidence and a transparent, fair decision-making process, novel and existing medical equipment, drugs and procedures used by healthcare professionals are prioritized on a continuous basis ensuring the best care for life with the best use of resources.

Brief Reports

Brief reports are prepared in response to urgent requests for information or to update previous reports with new evidence; in such cases an in-depth evaluation is either not possible or is unnecessary. Brief reports are reviewed by the Manager of TAU and the Chair of the Policy Committee, and only submitted for approval to the Policy Committee when recommendations are updated.

Declaration of Conflicts of Interest

Members of TAU's research staff and policy committee declare no conflicts of interest.

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REPORT REQUESTOR

The original reports were requested by Dr. Tarek Hijal of the Radiation Oncology Division, and published in November 2012 and June 2015.

TYPES OF RECOMMENDATIONS ISSUED BY THE TAU COMMITTEE

Type of recommendation	Explanation
Approved	• Evidence for relevant decision criteria, including efficacy, safety, and cost, as well as context-specific factors such as feasibility, is sufficiently strong to justify a recommendation that the technology be accepted, used and funded through the institutional operating budget
Approved for evaluation	 There is a reasonable <i>probability</i> that relevant decision criteria, including efficacy, safety, and cost, as well as context-specific factors such as feasibility, are favorable but the evidence is not yet sufficiently strong to support a recommendation for permanent and routine approval. The evidence is sufficiently strong to recommend a <i>temporary</i> approval in a restricted population for the purposes of evaluation, funded through the institutional operating budget.
Not approved	 There is insufficient evidence for the relevant decision criteria, including efficacy, safety, and cost; The costs of any use of the technology (e.g. for research purposes) should not normally be covered by the institutional budget.

DISCLAIMER

The Technology Assessment Unit ("TAU") of the McGill University Health Centre ("MUHC") was created in order to prepare accurate and trustworthy evidence to inform decision-making and when necessary to make policy recommendations based on this evidence. The objective of the TAU is to advise the hospitals in difficult resource allocation decisions, using an approach based on sound, scientific technology assessments and a transparent, fair decision-making process. Consistent with its role within a university health centre, it publishes its research when appropriate, and contributes to the training of personnel in the field of health technology assessment.

The information contained in this report may include, but is not limited to, existing public literature, studies, materials, and other information and documentation available to the MUHC at the time it was prepared, and it was guided by expert input and advice throughout its preparation. The information in this report should not be used as a substitute for professional medical advice, assessment and evaluation. While MUHC has taken care in the preparation of this report to ensure that its contents are accurate, complete, and up to-date, MUHC does not make any guarantee to that effect. MUHC is not responsible for any liability whatsoever, errors or omissions or injury, loss, or damage arising from or as a result of the use (or misuse) of any information contained in or implied by the information in this report.

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SUMMARY

BACKGROUND

Intraoperative radiotherapy (IORT), a modality of accelerated partial breast irradiation (APBI), which was introduced based on the rationale that the vast majority of local breast cancers recur within the primary tumour site. Unlike external beam radiation therapy (EBRT), which irradiates the entire affected breast in daily doses of 1.8-2.0 Gy over 5-7 weeks, IORT with Intrabeam[®] delivers a single higher dose directly to the tumour bed during breast conserving surgery. Intraoperative therapy thus avoids the unnecessary irradiation of vital organs such as the heart and lungs, and reduces the burden on the patient of frequent hospital visits.

Efficacy of Intrabeam[®] has only been evaluated in a single non-inferiority trial, the TARGIT-A trial. Based on the relatively short follow-up time (median 2.4 years) of this study and some missing information in the results to evaluate the non-inferiority of Intrabeam[®] to EBRT, the TAU policy committee did not recommend use of this technology in 2012 and 2015, except in the context of a research study.

Between 2016 and 2021, some articles reporting longer follow-up results from the same trial were published, necessitating an update of our recommendations.

OBJECTIVES

The objectives of this report are to assess:

- any new evidence on local recurrence, survival and complication rates of IORT vs. EBRT;
- local evidence on the use of Intrabeam[®] at the MUHC

FINDINGS

New evidence:

Long-term follow-up (median 8.6 years) results from the TARGIT-A trial (n=2234) showed that the 5-year Kaplan-Meier (K-M) risk of local recurrence was 2.23% vs. 1.02 % for IORT vs. EBRT, resulting in an absolute risk difference of 1.21% (90% CI: 0.47, 1.95). The binomial proportions of 5-year local recurrence were 2.11% (24/1140) and 0.95% (11/1158) for IORT vs. EBRT, respectively. The resulting absolute risk difference was 1.16% (90% CI: 0.32, 1.99). Therefore, the results for both the difference in Kaplan-Meier

risk and the difference in binomial proportions were within the pre-defined noninferiority margin of 2.5%.

The authors reported no statistically significant differences between IORT and EBRT for local recurrence-free survival (hazard ratio (HR) 1.13; 95% confidence interval (95%CI) 0.91 to 1.41); mastectomy-free survival (HR: 0.96; 95% CI: 0.78 to 1.19); distant disease-free survival (HR: 0.88; 0.69 to 1.12); overall survival (HR: 0.82; 0.63 to 1.05); and breast cancer mortality (HR: 1.12; 0.78 to 1.60). Mortality from other causes was significantly lower (HR: 0.59; 0.40 to 0.86).

A cost-utility analysis comparing IORT and EBRT for treating early breast cancer treatment in the UK showed that IORT appeared to be \$1,386 CAD less costly than EBRT (\$14,480 vs. \$15,866 CAD, respectively). IORT also produced better quality-adjusted life-years: discounted QALYs gained were 8.15 for IORT vs. 7.97 for EBRT (i.e., IORT gained 0.18 incremental QALY).

MUHC experience:

Until July 2018, there were 2 (5.6%) recurrences among 36 women treated at the MUHC over a mean follow up of 5.05 years as part of a clinical trial. There was no acute Radiation Therapy Oncology Group (RTOG) toxicity score \geq 3, while late RTOG toxicity score \geq 3 was found in 1 (3.0%) patient. These rates are within the range found in the TARGIT-A trial. Since August 2018, IORT using Intrabeam[®] has been used as routine treatment in 35 patients: 26 with breast cancer and 9 with brain cancer. No local recurrence was found at follow-up.

CONCLUSIONS

- The most recent evidence indicates that intraoperative radiotherapy (IORT) using Intrabeam[®] during lumpectomy is non-inferior to whole breast external beam radiation therapy (EBRT) for 5-year local recurrences and overall mortality. Moreover, there were no statistically significant differences for local recurrence-free survival, mastectomy-free survival, distant disease-free survival, overall survival, and breast cancer mortality. Mortality from other causes was significantly lower in the TARGIT-IORT group.
- Local recurrence rates for women treated with Intrabeam[®] as part of a research study at the MUHC were low.
- We conclude that IORT using Intrabeam[®] is a feasible and safe method of treatment for carefully selected breast cancer patients.

UPDATED RECOMMENDATIONS

- We conclude that IORT using Intrabeam[®] may be used in a restricted population of carefully selected breast cancer patients at the MUHC. This would correspond to a recommendation of: <u>Approved for evaluation</u>
- This recommendation was updated based on the following:
 - The new long-term follow-up evidence of non-inferiority
 - o Local data from MUHC indicating low recurrence rates
- It is necessary that data be systematically collected, including data on patient selection criteria and downstream clinical outcomes;
- This recommendation should be reviewed should any further evidence become available.

SOMMAIRE

Contexte

La radiothérapie peropératoire (RTPO), une modalité d'irradiation partielle accélérée du sein (IPAS), a été introduite en se basant sur le fait que la grande majorité des cancers du sein locaux récidivent dans le site de la tumeur primaire. Contrairement à la radiothérapie externe (RTE), qui irradie l'ensemble du sein affecté à des doses quotidiennes de 1,8 à 2,0 Gy pendant 5 à 7 semaines, le RTPO avec Intrabeam[®] délivre une seule dose plus élevée directement sur le lit tumoral pendant la chirurgie conservatrice du sein. La radiothérapie peropératoire évite ainsi l'irradiation inutile d'organes vitaux, tels que le cœur et les poumons, et réduit le fardeau du patient en diminuant la fréquence des visites à l'hôpital.

L'efficacité d'Intrabeam[®] a été évaluée seulement dans un essai clinique de noninfériorité, l'essai TARGIT-A. Étant donné la durée de suivi relativement courte (médiane de 2,4 ans) de cette étude et de certaines informations manquantes dans les résultats pour évaluer la non-infériorité d'Intrabeam[®] par rapport à la RTE, le comité de politique du TAU n'a pas recommandé l'utilisation de cette technologie en 2012 et 2015, sauf dans le cadre d'une étude de recherche.

Certains articles publiés entre 2016 et 2021 ont rapporté des résultats de suivi plus long provenant du même essai clinique, nécessitant ainsi une mise à jour de nos recommandations.

Objectifs

Les objectifs de ce rapport sont d'évaluer :

- toutes les nouvelles preuves sur le taux de récidive locale, de survie et de complications de la RTPO par rapport à la RTE;
- les données probantes locales sur l'utilisation d'Intrabeam[®] au CUSM

Résultats

Nouvelle preuve:

Les résultats du suivi à long terme (médiane de 8,6 ans) de l'essai TARGIT-A (n = 2234) ont montré que le risque de récidive locale à 5 ans de Kaplan-Meier (KM) était de 2,23% contre 1,02% pour la RTPO contre la RTE, entraînant une différence de risque absolu de 1,21% (IC à 90% : 0,47, 1,95). Les proportions binomiales de récidive locale à 5 ans

étaient de 2,11% (24/1140) et de 0,95% (11/1158) pour la RTPO et la RTE, respectivement. Ainsi, la différence de risque absolue était de 1,16% (IC à 90% : 0,32, 1,99). Par conséquent, les résultats pour la différence de risque de Kaplan-Meier et la différence de proportions binomiales se situaient dans la marge de non-infériorité prédéfinie de 2,5%.

Les auteurs ont rapporté qu'il y avait aucune différence statistiquement significative entre la RTPO et la RTE pour la survie sans récidive locale (rapport de risque instantané (HR) 1,13; intervalle de confiance à 95% (IC à 95%) 0,91 à 1,41); la survie sans mastectomie (HR: 0,96; IC 95%: 0,78 à 1,19); la survie sans maladie à distance (HR: 0,88; 0,69 à 1,12); la survie globale (HR: 0,82; 0,63 à 1,05); et la mortalité par cancer du sein (HR: 1,12; 0,78 à 1,60). La mortalité due aux autres causes était significativement plus faible (HR: 0,59; 0,40 à 0,86).

Une analyse du coût-utilité comparant la RTPO et la RTE pour le traitement du cancer du sein précoce au Royaume-Uni a montré que la RTPO semblait coûter 1 386 \$ CA de moins que la RTE (14 480 \$ contre 15 866 \$ CA, respectivement). LA RTPO a également donnée un meilleur coût par années de vie pondérées par la qualité: les gains QALY étaient de 8,15 pour la RTPO contre 7,97 pour la RTE (c'est-à-dire que la RTPO a gagné 0,18 QALY supplémentaires).

Expérience au CUSM:

Jusqu'en juillet 2018, il y a eu 2 (5,6%) récidives chez 36 femmes traitées au CUSM au cours d'un suivi moyen de 5,05 ans dans le cadre d'un essai clinique. Il n'y avait pas de score de toxicité aiguë Radiation Therapy Oncology Group (RTOG) \geq 3, tandis qu'un score de toxicité tardif RTOG \geq 3 a été rapporté chez 1 patient (3,0%). Ces taux se situent dans la fourchette trouvée dans l'essai TARGIT-A. Depuis août 2018, la RTPO avec Intrabeam[®] a été utilisée comme traitement de routine chez 35 patients : 26 atteints d'un cancer du sein et 9 d'un cancer du cerveau. Il y a eu aucune récidive locale lors du suivi.

CONCLUSIONS

 Les preuves probantes les plus récentes indiquent que la radiothérapie peropératoire (RTPO) utilisant Intrabeam[®] pendant la tumorectomie est non inférieure à la radiothérapie externe (RTE) du sein entier pour les récidives locales à 5 ans et la mortalité globale. De plus, il n'y avait pas de différences statistiquement significatives pour la survie sans récidive locale, la survie sans mastectomie, la survie sans maladie à distance, la survie globale et la mortalité par cancer du sein. La mortalité due à d'autres causes était significativement plus faible dans le groupe TARGIT-IORT.

- Les taux de récidive locale chez les femmes traitées avec Intrabeam[®] dans le cadre d'une étude de recherche au CUSM étaient faibles.
- Nous concluons que la RTPO utilisant Intrabeam[®] est une méthode de traitement faisable et sécuritaire pour des patientes atteintes d'un cancer du sein soigneusement sélectionnées.

RECOMMANDATIONS

- Nous concluons que la RTPO utilisant Intrabeam[®] peut être utilisée dans une population restreinte de patientes atteintes d'un cancer du sein soigneusement sélectionnées au CUSM. Cela correspondrait à une recommandation de : <u>Approuvé</u> <u>pour évaluation</u>
- Cette recommandation a été mise à jour sur la base des éléments suivants :
 - o La nouvelle preuve de suivi à long terme de non-infériorité
 - Données locales du CUSM indiquant de faibles taux de récidive
- Il est nécessaire que les données soient systématiquement collectées, y compris les données sur les critères de sélection des patientes et les événements cliniques en aval;
- Cette recommandation devrait être réexaminée si d'autres éléments de preuve devenaient disponibles.

LIST OF ABBREVIATIONS

APBI	Accelerated partial breast irradiation
CI	Confidence interval
EBRT	External beam radiotherapy
Gy	Gray, unit used to measure the total radiation a patient is exposed to
HR	Hazard ratio
IORT	Intra-operative radiotherapy
K-M	Kaplan-Meier
MUHC	McGill University Health Centre
QoL	Quality of life
RCT	Randomized controlled trial
RTOG	Toxicity criteria of the Radiation Therapy Oncology Group
TAU	MUHC Technology Assessment Unit

UPDATE OF TAU REPORTS #63 AND #76: SINGLE-DOSE INTRAOPERATIVE RADIOTHERAPY USING INTRABEAM[®] FOR EARLY-STAGE BREAST CANCER

1. BACKGROUND

Postoperative whole-breast external beam radiotherapy (EBRT), usually delivering a total dose of 45-50 Gy in 16-25 fractions over 4-5 weeks, reduces the risk of tumour recurrence and improves survival of breast cancer patients managed with breast-sparing surgery. Intra-operative radiotherapy (IORT) with Intrabeam[®] was conceived to deliver a single dose of radiation directly to the tumour bed during surgery for lumpectomy, thus avoiding postoperative whole-breast radiotherapy for selected patients with early-stage breast cancer. IORT was proposed based on the results of a single non-inferiority trial, the TARGIT-A trial [1]. TARGIT-A was a pragmatic, non-blinded, randomized clinical trial that compared patients who were treated with IORT with Intrabeam[®] vs. EBRT for early breast cancer. Some of the hypothesized advantages of using Intrabeam[®] over whole breast EBRT were that IORT would: avoid unnecessary irradiation of vital organs such as the heart and lungs; reduce the frequency of patient hospital visits; shorten the waiting time for radiotherapy patients; and lower the workload of the Radiation Oncology Department.

TARGIT-A first published their early results in 2010 where only 13% of patients had a median follow-up time of 5 years [1]. This study reported acute complications and formed the basis for TAU's evaluation published in November 2012. In 2014, TARGIT-A published updated results on long-term complications for 35% of participants who had a median follow-up time of 5 years [2]. These results were evaluated in a second TAU report published in June 2015.

1.1 Reason for Brief Report

This brief report is to update the recommendations issued in TAU reports #63 (November 2012) [3] and #76 (June 2015) [4], which evaluated the effectiveness and safety of Intrabeam[®] for selected patients with early-stage breast cancer. TAU's last evaluation (report #76) concluded that the available evidence supporting the use of Intrabeam[®], based on the relatively short follow-up time (median 2.4 years) and inconsistencies in the TARGIT-A results to allow proper evaluation of non-inferiority, was not yet adequate to justify its approval for routine use. Hence, the TAU policy

committee did not recommend routine use of this technology; rather they recommended that that the acquisition of Intrabeam[®] be conditional on the department's participation in research studies designed to determine local recurrence, mortality rates, and patient satisfaction following Intrabeam[®] over a longer-term period.

Longer term follow-up results have recently been published by the TARGIT-A trial authors. Therefore, an update was requested by Dr. Tarek Hijal, Director of the Division of Radiation Oncology at the McGill University Health Centre (MUHC) on July 12, 2021.

2. OBJECTIVES

- What is the new evidence on local recurrence, survival and complication rates of IORT vs. EBRT?
- What is the local evidence on the use of Intrabeam[®] at the MUHC?

3. METHODS

3.1 Literature search and quality assessment

When TAU's report #76 was released in June 2015, there were nine ongoing studies evaluating local recurrence, survival and complication rates of IORT. We updated our search on PubMed and ClinicalTrials.gov on January 24, 2022.

3.2 MUHC experience

We obtained information from Dr. Tarek Hijal and the Radiation Oncology Clinical Research team of the Cedar Cancer Center on current use of Intrabeam[®] at the MUHC.

4. **RESULTS**

4.1 Results of the literature search

We found 13 studies registered at the ClinicalTrials.gov: 9 are clinical trials and 4 observational studies (<u>Appendix A:</u>). All studies are ongoing except TARGIT-A trial that has completed and published their results, and a study by the University of Southern California that was terminated due to lack of funding.

4.2 New evidence from the TARGIT-A trial

The TARGIT-A trial was a non-inferiority trial that included 3451 women \ge 45 years with early-stage breast cancer (with unifocal invasive ductal carcinoma preferably \le 3.5 cm in size) from 33 centers in 11 countries.

A non-inferiority trial tests whether the experimental treatment is not worse than the control treatment by more than a pre-specified non-inferiority margin. The TARGIT-A trial defined their non-inferiority margin as an absolute difference of 2.5% in the binomial proportions (number of recurrences/number of patients) of the 5-year local recurrence rate between the two radiotherapy treatment groups. Thus, the trial protocol specified that IORT would be considered non-inferior to EBRT if the upper limit of the 90% CI of the treatment difference between the two groups did not exceed 2.5%.

TARGIT-A included two parallel cohorts of women, categorized as pre-pathology or postpathology. Their first publication reported short-term follow-up (median: 2.4 years) results for both groups. The post-pathology group included women (n=1153) who had already undergone breast-conserving surgery, and subsequently received IORT as a second procedure after surgery (delayed TARGIT-IORT). As the intended use of IORT is delivery *during* surgery, our evaluation will only focus on the pre-pathology stratum (n=2298) where women were randomized before surgical removal of the tumour. These women completed their 5-year follow up in 2016 [5] and new evidence at long-term follow-up (median: 8.6 years) [6] was recently available.

4.2.1 Short-term follow-up results

TARGIT-A's short-term follow-up results showed that the 5-year Kaplan-Meier (K-M) risk of local recurrence for the pre-pathology group (n=2234) was 2.1% (95% confidence interval (CI): 1.1, 4.2) vs. 1.1 % (95% CI: 0.5, 2.5) for IORT vs. EBRT, resulting in an absolute risk difference of 2% (no 95% CI provided) for IORT vs. EBRT respectively. The 95% CI calculated by the authors of the TAU report for the difference in K-M recurrence rates (cumulative incidence rates) found that the upper CI exceeded the 2.5% non-inferiority margin. However, for the difference in binomial proportions (number of recurrences divided by the number of patients), the 90% CI reported in the study were within the 2.5% non-inferiority margin (Table 1).

4.2.2 Long-term follow-up results

Long-term follow-up (median 8.6 years, maximum 18.90 years, interquartile range 7.0-10.6) results of women in the pre-pathology stratum (n=2234) showed that the 5-year Kaplan-Meier (K-M) risk of local recurrence was 2.23% vs. 1.02 % for IORT vs. EBRT, resulting in an absolute risk difference of 1.21% (90% CI: 0.47, 1.95). The binomial proportions of 5-year local recurrence were 2.11% (24/1140) and 0.95% (11/1158) for IORT vs. EBRT, respectively. The resulting absolute risk difference was 1.16% (90% CI: 0.32, 1.99) [Table 1]. Therefore, the results for both the difference in Kaplan-Meier risk and the difference in binomial proportions were within the 2.5% threshold [6].

The authors reported no statistically significant differences between IORT and EBRT for local recurrence-free survival (hazard ratio (HR) and 95% CI: 1.13; 0.91, 1.41), mastectomy-free survival (HR: 0.96; 0.78, 1.19), distant disease-free survival (HR: 0.88; 0.69, 1.12), overall survival (HR: 0.82; 0.63, 1.05), and breast cancer mortality (HR: 1.12; 0.78, 1.60). Mortality from other causes was significantly lower for IORT vs. EBRT (HR: 0.59; 0.40, 0.86) [6].

4.2.3 Cost Utility Analysis

A cost-utility analysis comparing IORT and EBRT for treating early breast cancer treatment in the UK was done using decision analytic modelling by a Markov model for a time horizon of 10 years. The decision analytic model was constructed based on outcome probabilities from the published TARGIT-A trial data (817 patients randomised in the 'earliest cohort' in the pre-pathology stratum); costs from the INTRABEAM manufacturer and UK National Health Service cost data; and utility values from the published literature [9]. Uncertainty was tackled by performing one-way and probabilistic sensitivity analyses. Future costs and effects were discounted at the rate of 3.5%. IORT appeared to be \$1,386 CAD less costly than EBRT (\$14,480 vs. \$15,866 CAD, respectively). IORT also produced better quality-adjusted life-years: discounted QALYs gained were 8.15 for IORT vs. 7.97 for EBRT (i.e., IORT gained 0.18 incremental QALY).

5. INTRABEAM AT THE MUHC

5.1 MUHC experience with Intrabeam

Between October 29, 2013, and July 31, 2018, 39 breast cancer patients were treated with IORT using Intrabeam[®] in the context of a clinical research, but four withdrew from

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the trial leaving 36 patients for the analysis. Of the four who withdrew, one refused EBRT during follow up, one was off protocol due technical issues with the machine, and two withdrew prior to IORT. Two-quarters of patients had a grade-2 tumour and a third had a grade-1 tumour (Table 2). Two (5.6%) patients required adjuvant chemotherapy, 22 (61.1%) received adjuvant hormonal therapy, and 15 (41.7%) had EBRT following IORT. With a mean follow up time of 5.05 years (Standard error (SE): 0.84), local recurrence was found in two (5.6%) patients (Table 3). There was no acute RTOG toxicity score (Toxicity criteria of the Radiation Therapy Oncology Group) \geq 3, while late RTOG toxicity score \geq 3 was found in 1 (3.0%) patient. These rates are within the range found in the TARGIT-A trial. (1) Seroma was the most common complication (27.8%).

5.2 Current treatment policy

Considering evidence from the recent studies supporting the non-inferiority of IORT compared to EBRT, Intrabeam[®] has been used in a non-research setting in carefully selected patients at the MUHC since August 2018. Between August 2018 and May 21, 35 patients received the treatment: 26 with breast cancer and 9 with brain cancer. No local recurrence was found at follow-up. Post-pathology IORT has never been done at the MUHC.

6. **DISCUSSION**

TARGIT-A's short-term follow-up results were suggestive of non-inferiority of IORT over EBRT. However, the relatively short follow-up time (median: 2.4 years) and crossing of the 2.5% non-inferiority margin for the 95% CI for Kaplan-Meir 5-year local recurrence rates lead to a recommendation to not approve Intrabeam[®] for routine use at the MUHC in 2015.

Long-term follow up (median 8.6 years) from the TARGIT-A trial were reassuring and indicated that IORT during lumpectomy was non-inferior to EBRT for 5-year local recurrences, as defined by the trial protocol. Furthermore, this study found that there were no statistically significant differences between IORT and EBRT for local recurrence-free survival, mastectomy-free survival, distant disease-free survival, overall survival, and breast cancer mortality. Mortality from other causes was significantly lower in the IORT group. Moreover, a UK cost utility analysis showed that IORT appeared to be less costly and produced better quality-adjusted life-years than EBRT.

Results from local data from 36 women treated with IORT as part of a clinical trial at the MUHC over an average of 5 years showed that local recurrence rates and grade \geq 3 toxicity rates were within the range found in the TARGIT-A trial.

7. CONCLUSIONS

- The most recent evidence from the TARGIT-A trial, which includes long-term followup, showed non-inferiority of TARGIT-IORT delivered during lumpectomy to EBRT for 5-year local recurrences, as well as no statistically significant differences for local recurrence-free survival, mastectomy-free survival, distant disease-free survival, overall survival, and breast cancer mortality. Mortality from other causes was significantly lower in the IORT group.
- Until July 2018, there were 2 (5.6%) recurrences among 36 women treated at the MUHC over a mean follow up of 5.05 years as part of a clinical trial. There was no acute RTOG toxicity score ≥3, while late RTOG toxicity score ≥3 was found in 1 (3.0%) patient. These rates are within the range found in the TARGIT-A trial.
- Since August 2018, IORT using Intrabeam[®] has been used to treat 35 patients: 26 with breast cancer and 9 with brain cancer. No local recurrence was found at follow-up.
- We conclude that IORT using Intrabeam[®] is a feasible and safe method of treatment for carefully selected breast cancer patients.

8. **RECOMMENDATIONS**

- We conclude that IORT using Intrabeam[®] may be used in a restricted population of carefully selected breast cancer patients at the MUHC. This would correspond to a recommendation of: <u>Approved for evaluation</u>
- This recommendation was updated based on the following:
 - The new long-term follow-up evidence of non-inferiority ;
 - \circ $\,$ Local data from MUHC indicating low recurrence rates
- It is necessary that data be systematically collected, including data on patient selection criteria and downstream clinical outcomes;
- This recommendation should be reviewed should any further evidence become available.

TABLES

Table 1. Results of TARGIT-A trial follow-up: 5-year risk of local recurrence and mortality in the pre-pathology strata

	Median		5-yea	ar risk of local recu	rrence and	mortality		Absolute	difference
	follow-up		Intrabea	am®		EBR	т	K-M¥	Binomial§
		No. of	No. of	K-M % (95%CI) ‡	No. of	No. of	K-M %	% (95% CI)*	% (90%CI)
		patients	events		patients	events	(95%Cl ‡)		
Pre-pathology, sho	rt-term follow-	up							
Local recurrence (n=2234)	2.4 years	1107	10	2.1 (1.1, 4.2)	1127	6	1.1 (0.5, 2.5)	1.0 (-0.89, 2.89)	0.4 (-0.2, 1.0)
Overall mortality (n=2298)			29	4.6 (1.8, 6.0)		42	6.9 (4.3, 9.6)	-2.3 (-5.05, 0.45)	
Breast cancer deaths		1140	17	3.3 (1.9, 5.8)	1158	15	2.7 (1.5, 4.6)	0.6 (-1.96, 3.16)	
Non-breast cancer deaths			12	1.3 (0.7, 2.8)		27	4.4 (2.8, 6.9)	-3.1 (-5.50, -0.70)	
Pre-pathology, long	g-term follow-u	р							
Local recurrence (n=2298)	8.6 years	1140	24	2.23	1158	11	1.02	1.21 (0.33, 2.09)	1.16 (0.32, 1.99)
Overall mortality (n=2298)									
Breast cancer deaths		1140	42		1158	56			1.15 (-0.52, 2.84

+ Kaplan-Meier estimate of 5-year local recurrence risk and 95% confidence intervals

¥ Absolute difference in Kaplan-Meier estimates of 5-year local recurrence

§ Absolute difference in binomial proportions of local recurrence

* These 95% CIs were not provided by the TARGIT-A trial authors, but calculated by TAU report authors (see Appendix for calculation).

Table 2. Characteristics of the trial participants who received Intrabeam $^{\otimes}$ IORT at the MUHC until July 2018

Patient characteristics	
Age, years, mean (min, max)	68.5 (50.0; 80.0)
Tumour size, cm, mean (min, max)	1.2 (0.4; 5.0)
Follow up time, years, median	5.1
Histology, N (%)	
Ductal	30 (83.3)
Mammary	2 (5.6)
Mixed (lobular-mammary; ductal-papilloma)	2 (5.6)
Other (mucinous)	2 (5.6)
Tumour stage, N (%)	
T1	36 (100)
NO	36 (100)
Tumour grade, N (%)	
1	12 (33.4)
1 to 2	1 (2.8)
2	22 (61.1)
2 to 3	1 (2.8)
DCIS present, N (%)	25 (69.4)
Extensive intraductal component, N (%)	1 (2.8)
Lympho-vascular invasion, N (%)	2 (5.6)
Unifocal tumour, N (%)	34 (94.4)
Hormone receptor status (ER/PR), N (%)	36 (100)
Human epidermal growth factor 2 status	
Positive	0 (0)
Negative	34 (94.4)
Equivocal	2 (5.6)

Table 3. Outcomes of the trial participants who received Intrabeam® IORT at the MUHC untilJuly 2018

Outcome	N (%)	
Local recurrence	2 (5.6)	
Adjuvant therapy		
Chemotherapy	2 (5.6)	
Hormone therapy	22 (61.1)	
Received EBRT after IORT		
Planned	15 (41.6)	
Complications		
Seroma	10 (27.8)	
Acute RTOG toxicity score ≥3	0 (0)	
Late RTOG toxicity score ≥3	1 (3.0)	

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APPENDIX

APPENDIX A: LIST OF STUDIES EVALUATING INTRABEAM® IORT REGISTERED AT CLINICALTRIALS.GOV

	NCT Number	Title	Other Names	Status	Conditions	Interventions	Characteristics	Population	Sponsor/ Collaborators	Funder Type	Dates	Locations
1	NCT03637738	Medico Economic Study, Comparing Intrabeam® on Surgical Resection Bed to	Title Acronym: RIOP-SEIN	Active, not recruiting	Menopausal Patients Low-risk Breast	Radiation: RIOP- Intrabeam® system	Study Type: Interventional	Enrollment: 246	Institut Cancerologie de l'Ouest	•Other	Study Start: June 2012	Institut Bergonié, Bordeaux, France Chu Morvan, Brest, France
		Conventional Surgery + EBRT, in Breast Cancer	Other Ids: ICO-2012-03		Low-risk Breast Cancer	 Radiation: conventional surgery +RTE 	Phase: Not Applicable	Age: 55 Years and older (Adult, Older			Primary Completion: August 2014	Chu Morvan, Brest, France Centre G F Leclerc, Dijon, France
		Study Documents:					Study Design: •Allocation: Randomized	Adult)			Study Completion: May 2024	Centre Léon Berard, Lyon, France Institut Paoli Calmette, Marseille, France INSTITUT REGIONAL DU CANCER MONTPELLIER - Val D'Aurelle, Montpellier, France Höpital Saint Louis, Paris, France Centre René Gauducheau, Saint Herblain, France
							Intervention Model: Parallel Assignment Masking: None (Open	Female			First Posted: August 20, 2018	
							Label) •Primary Purpose:				Results First Posted: No Results Posted	
							Treatment Outcome Measures: • Actual cost • Local-regional recurrence rate • Complication rates • Esthetic result • quality of life after surgery and radiotherapy				Last Update Posted: August 20, 2018	
2	NCT01440010	TARGeted Intraoperative radioTherapy With INTRABEAM as a Boost for Breast Cancer - A	Title Acronym: TARGIT_BQR	Completed	Breast Cancer	 Radiation: IORT with 50 kV x-rays, 20 Gy 	Study Type: Observational	Enrollment: 1135	•Universitätsmediz Mannheim	•Other	Study Start: September 1, 2011	•University Medical Center Mannheim, Mannheim, Germany
		Quality Control Registry	Other Ids: TARGIT BQR			20 09	Phase:	Age: 18 Years to 85			Primary Completion: December 31, 2020	
		Study Documents:					Study Design: •Observational Model: Ecologic or Community	Years (Adult, Older Adult)			Study Completion: December 31, 2020	
							Time Perspective: Prospective	Sex: Female			First Posted: September 23, 2011	
							Outcome Measures: •Local recurrence rate •Toxicity, Overall survival				Results First Posted: No Results Posted	

	NCT Number	Title	Other Names	Status	Conditions	Interventions	Characteristics	Population	Sponsor/ Collaborators	Funder Type	Dates	Locations			
3	NCT02389686	Intra-operative Radiotherapy For Breast Cancer Women After NSM	Title Acronym: Other Ids:	Recruiting	Breast Neoplasms	Device: INTRABEAM (Carl Zeiss, Oberkochen,	Study Type: Interventional	Enrollment: 110	•Liao Ning •Guangdong	•Other	Study Start: October 2014	 Guangdong Academy of Medical Sciences, Guangzhou, Guangdong, China 			
		Study Documents:	GGHBCRG-IORT- NSM		Germany) Phase: Age: of Medical Not Applicable 17 Years to 80 Sciences Years (Child,		Primary Completion: October 2019								
							Study Design: •Allocation: Randomized	Adult, Older Adult)			Study Completion: October 2024				
							Intervention Model: Parallel Assignment Masking: None (Open	Female			First Posted: March 17, 2015				
							Label) •Primary Purpose: Treatment				Results First Posted: No Results Posted				
							Outcome Measures: • Ipsilateral breast tumor recurrence rate after surgery within five years • Disease free survival after surgery within five years • Overall survival after				Last Update Posted: March 17, 2015				
4	NCT02213991	Intraoperative Radiotherapy for Korean Patients With Breast	Title Acronym:	Unknown status	Breast Cancer	Device: Intraoperative radiotherapy using Intrabeam®	surgery within ten years Study Type: Interventional	Enrollment: 215	•Gangnam Severance Hospital	•Other	Study Start: August 2014	•Gangnam Severance Hospital, Seoul, Korea, Republic of			
		Cancer Study Documents:	Other Ids: K-IORT				Phase: Phase 2 Study Design: •Allocation: N/A •Intervention Model: Single Group Assignment	Age: 18 Years and older (Adult, Older Adult) Sex: Female			Primary Completion: October 2017				
		,									Study Completion: March 2020				
											First Posted: August 12, 2014				
							•Masking: None (Open Label) •Primary Purpose: Treatment				Results First Posted: No Results Posted				
							Outcome Measures: •Acute local toxicity in breast receiving IORT				Last Update Posted: February 15, 2018				
										Delayed local toxicity Cosmesis					
										 Local tumor recurrence in ipsillateral breast 					
					Dosimetray										

	NCT Number	Title	Other Names	Status	Conditions	Interventions	Characteristics	Population	Sponsor/ Collaborators	Funder Type	Dates	Locations	
5	NCT02977468	Effects of MK-3475 (Pembrolizumab) on the Breast Tumor Microenvironment in	Title Acronym: Pembro/IORT	Recruiting	Triple Negative Breast Cancer	Drug: Merck 3475 Pembrolizumab	Study Type: Interventional	Enrollment: 15	Eileen Connolly Merck Sharp &	Other Industry	Study Start: October 25, 2017	Columbia University Irving Medical Center, New York, New York, United States	
		Triple Negative Breast Cancer	AAAQ7863			Radiation: Intraoperative radiation therapy (IORT)	Phase: Phase 1	Age: 21 Years to 80	 Dohme Corp. Columbia University 		Primary Completion: December 31, 2022		
		Study Documents:				(IORT)	Study Design: •Allocation: N/A	Years (Adult, Older Adult)	-		Study Completion: December 31, 2024		
							Intervention Model: Single Group Assignment	Sex: Female			First Posted: November 30, 2016		
							 Masking: None (Open Label) 				Results First Posted:		
								Primary Purpose: Treatment				No Results Posted	
							Outcome Measures: Number of subjects with significant mean percent change in TILs				Last Update Posted: August 23, 2021		
6	NCT00983684	Radiotherapy With Post-	Title Acronym: TARGIT	Completed	 Invasive Breast Cancer 	Device: Intrabeam Radiation: Post-operative radiotherapy	Study Type: Interventional	Enrollment: 3451	University College, London National Institute for Health Research, United Kingdom	•Other	Study Start: March 2000	Clinical Trials Group, London, United Kingdom	
		Women With Early Breast Cancer	ncer •ISRCTN 34086741		Breast Cancer		Phase: Phase 3	Age: 45 Years and older			Primary Completion: June 2012		
		Study Documents:				Adult, Older Adult, Older				Study Completion: June 2012			
				JKCRN ID 7265			Intervention Model: Parallel Assignment Masking: None (Open	Female			First Posted: September 24, 2009		
							Label) •Primary Purpose: Treatment				Results First Posted: No Results Posted		
							Outcome Measures: •Local relapse within the treated breast.				Last Update Posted: December 5, 2014		
								Site of relapse within the breast					
							 Relapse-free survival and overall survival 						
								 Local toxicity/morbidity 					

	NCT Number	Title	Other Names	Status	Conditions	Interventions	Characteristics	Population	Sponsor/ Collaborators	Funder Type	Dates	Locations																																										
7	NCT01792726	2728 A Comparison of Intra-operative Radiotherapy Boost With External Beam Radiotherapy Boost in Early Breast Cancer. Study Documents:	Radiotherapy Boost With External Beam Radiotherapy	Radiotherapy Boost With	Radiotherapy Boost With External Beam Radiotherapy	Title Acronym: TARGIT-B	Recruiting	Early Breast Cancer	 Radiation: Boost to the tumour bed 	Study Type: Interventional	Enrollment: 1796	University College, London National Institute	•Other	Study Start: June 2013	 Helen Rey Breast Canoer Research Foundation, Los Angeles, California, United 																																							
			Other Ids: •TARGIT Boost			Phase: Not Applicable		Age: Child, Adult, Older Adult	National Institute for Health Research, United Kingdom		Primary Completion: January 2022	States • Memorial Health University Medical Center, Savannah,																																										
		otacy bootinents.	NHS NIHR HTA				Study Design: •Allocation: Randomized	Sex: Female			Study Completion: April 2022	Georgia, United States •Beaumont Health - Royal Oak, Detroit, Michigan, United States																																										
							Intervention Model: Parallel Assignment Masking: None (Open				First Posted: February 15, 2013	 Lakeland Regional Health System, Saint Joseph, Michigan, United States 																																										
							Label) •Primary Purpose: Treatment				Results First Posted: No Results Posted	Ashikari Breast Center, Dobbs Ferry, New York, United States																																										
								Outcome Measures: •Local tumour control (defined as no recurrent				July 12, 2019 •West Virg	Cleveland Clinic, Cleveland, Ohio, United States West Virginia University, Morgantown, West Virginia,																																									
							tumour in the ipsilateral breast).					Aurora Breast Center, Green Bay, Wisconsin, United States																																										
																																																	treated breast •Relapse-free survival					Beijing Cancer Hospital, Beijing, China
							Overall survival Adverse events related to					Institut Bergonié, Bordeaux, France																																										
								the primary treatment of the breast cancer. •Quality of life assessed					and 24 more																																									
							by patient completed validated questionnaires.																																															

	NCT Number	Title	Other Names	Status	Conditions	Interventions	Characteristics	Population	Sponsor/ Collaborators	Funder Type	Dates	Locations		
8	NCT00556907	Targeted Intra-Operative Radiotherapy for the Management of Ductal	Title Acronym: Other Ids:	Terminated	Breast Cancer	 Radiation: Intraoperative radiotherapy 	Study Type: Interventional	Enrollment: 22	University of Southern California	•Other	Study Start: October 2007	 USC/Norris Comprehensive Cancer Center, Los Angeles, California, United States 		
		Carcinoma In-Situ of the Breast Study Documents:	1B-06-9			Device: Intraoperative radiotherapy	Phase: Not Applicable	Age: 40 Years and older (Adult, Older			Primary Completion: March 2015			
		Study bodaments.				,	Study Design: •Allocation: N/A	Adult, Sex: Female			Study Completion: November 2016			
							Intervention Model: Single Group Assignment Masking: None (Open				First Posted: November 12, 2007			
							Label) •Primary Purpose: Treatment				Results First Posted: No Results Posted			
							Outcome Measures: •Feasibility. The primary efficacy endpoint will be reoperation (re-excision or mastectomy) rates following WLE or IORT •Safety endpoints: The overall serious adverse				Last Update Posted: July 11, 2017			
							event rate will be assessed for all patients at stated follow-up periods. Complications associated with each of the following setting will be documented and reported separately							
9	NCT02386371	Intraoperative Radiotherapy After Local Recurrence in Breast Cancer	Title Acronym: st RE-IORT01	Active, not recruiting	Breast Carcinoma	Procedure: tumorectomy Radiation: Intra Operative Radiotherapy	Study Type: Interventional	66	Institut du Cancer de Montpellier - Val d'Aurelle	•Other	Study Start: March 2014	Institut Bergonié, Bordeaux, France Chu Brest, Brest, France Centre George Francois Lecterc, Dijon, France		
		Study Documents:	Other Ids: ICM-URC2014/07				Phase: Not Applicable Study Design: •Allocation: N/A	Age: 50 Years and older (Adult, Older			Primary Completion: June 12, 2020			
								Adult)			Study Completion: April 2023	Centre Léon Bérard, Lyon, France CHU La TIMONE, Marseille,		
							Intervention Model: Single Group Assignment Masking: None (Open	Female			First Posted: March 11, 2015	France • Institut Paoli Calmette,		
							Label) •Primary Purpose: Treatment				Results First Posted: No Results Posted	Marseille, France • CRLC Val d'Aurelle, Montpellier, France		
									Outoome Measures: •Tolerance of intra operative radiation as assessed by acute and late toxicities				Last Update Posted: December 21, 2021	Institut de Cancérologie de l'Ouest, Nantes, France
							Cosmetic outcome evaluated by photography							

	NCT Number	Title	Other Names	Status	Conditions	Interventions	Characteristics	Population	Sponsor/ Collaborators	Funder Type	Dates	Locations
10		Intra-Operative Radiation Registry	Title Acronym:	Recruiting	Breast Cancer		Study Type: Observational	Enrollment: 250	Albert Einstein College of Medicine	•Other	Study Start: August 9, 2018	Montefiore Medical Center - Moses Campus, Bronx, New York, United States
		Study Documents:	2018-0409				Phase:	Age: 18 Years and older			Primary Completion:	
							Study Design: (Adult, Older •Observational Model: Adult) Cohort			August 9, 2023 Study Completion:		
											August 9, 2024	
							Time Perspective: Prospective				First Posted: August 6, 2021	
							Outcome Measures: •Number of patients with				Results First Posted:	
							locally controlled disease				No Results Posted	
							regionally controlled disease				Last Update Posted: August 6, 2021	
							 Number of patients with grade 2 or higher toxicities 					
11	NCT03536897	IORT Following Breast Conserving Surgery for Early Stage Breast Cancer Registry	Title Acronym:	r Ids:	Breast Cancer	-Radiation: IORT	Study Type: Observational	Enrollment: 168		•Other	Study Start: February 27, 2018	•Mount Carmel West Hospital, Columbus, Ohio, United States
			Other Ids: 180212-1				Phase:	Age: 65 Years and older (Older Adult)			Primary Completion:	
		Study Documents:									February 27, 2028	
											Study Completion: February 27, 2028	
								1 emare			First Posted: May 25, 2018	
							Outcome Measures: • In-Breast Tumor				Results First Posted:	
							Recurrence (IBTR) •Late Toxicity				No Results Posted	
							Acute Toxicity				Last Update Posted: July 2, 2021	
							Disease Free Survival Overall Survival					

	NCT Number	Title	Other Names	Status	Conditions	Interventions	Characteristics	Population	Sponsor/ Collaborators	Funder Type	Dates	Locations
12	NCTD2200782	TARGIT-C(Consolidation) Prospective Phase IV Study of IORT In Patients With Small Breast Cancer Study Documents:	Title Acronym: TARGIT-C	Active, not recruiting	•Breast Canoer	-Radiation: Intraoperative radiotherapy (IORT)	Study Type: Interventional	Enrollment: 387	• Universitätsmediz Mannheim	•Other	Study Start: October 2014	 Institut régional du Cancer de Montpellier, Montpellier, France IUCT, Toulouse, Toulouse, France Klinikum Kassel, Kassel, Germany Department of Radiotherapy University Hospital Mannheim, Mannheim, Germany
			Other Ids: TARGIT C				Phase: Not Applicable	Age: 50 Years and older (Adult, Older Adult) Sex:			Primary Completion: December 2026	
							Study Design: •Allocation: N/A				Study Completion: December 2026	
							Intervention Model: Single Group Assignment Masking: None (Open	Female			First Posted: November 14, 2014	
							Label) •Primary Purpose: Treatment	sures: e ralateral breast C, LENT _ and breast (EORTC QLQ			Results First Posted: No Results Posted	
							Outcome Measures: •Local relapse •Ipsi- or contralateral breast				Last Update Posted: November 3, 2021	
							 Survival Toxicity (CTC, LENT 					
							SOMA) General QoL and breast specific QoL (EORTC QLQ C30 + BR23)					
13		IORT-Boost-Study, Prospective Observational Study for Intraoperative Radiotherapy of the Breast as a Boost Study Documents:	Title Acronym:	Title Acronym: Active, not recruiting •ROKSM 01/12 •KMünsterlingen	•Adverse Effect of Radiation Therapy		Study Type: Observational	Enrollment: 163	- Kantonsspital Münsterlingen	•Other	Study Start: January 2013	•KMünsterlingen, Münsterlingen, Thurgau, Switzerland
			•ROKSM 01/12				Phase:	Age: Child, Adult, Older			Primary Completion: December 31, 2019	
							Study Design: • Observational Model: Case-Control • Time Perspective: Prospective	Adult Sex: Female			Study Completion: August 31, 2025	
											First Posted: April 15, 2014	
							Outcome Measures: •number of Participants with local recurrence histologically proven				Results First Posted: No Results Posted	
							•observation of acute and late effects of Radiotherapy				Last Update Posted: March 4, 2021	
							Overall survival					
							 Quality of life 					
							Cosmesis					

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